



### مركز الإمارات العالمي للاعتماد

#### **Emirates International Accreditation Centre**

# المتطلبات العامة لاعتماد جهات التفتيش العاملة في مجال معدات الرفع واكسيسواراتها Accreditation Requirements for Inspection Bodies working in the field of Lifting Equipment & Lifting Accessories

EIAC-RQ-IB-002

Signatories			
Approved:	Director, Inspection Bodies Accreditation Department		

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1	02	Correct the competency of Inspector for IB Level 2 mentioned in Annex A	19-05-2020		
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#### 1 Scope

#### 1.1 Inspection Services:

This document, including its Annexes – where applicable, is used as accreditation criteria, along with ISO 17020 standard, for Inspection Bodies (IBs) inspecting Lifting Equipment and Lifting Accessories.

#### 1.2 Type of Inspections

This document will cover the following types of inspection:

- a) Initial Approval
- b) Design Review
- c) Inspection of Manufacturing Process
- d) Initial Inspection
- e) Periodic Inspection

Major Inspection







#### 2 Definitions

#### 2.1 Accredited Inspection Body

An Inspection Body (IB) that is accredited by an internationally recognized Accreditation Body (AB).

#### 2.2 Lifting Equipment

Any lifting machine/device, whether powered, manually, mechanically or electrically, and that is able to move, either vertically or horizontally or both, or suspend loads, including the supporting structures and related parts used in connection with such a machine, but excludes continuous mechanical handling devices (i.e. conveyors), such as but not limited to:

- f) Cranes (tower, mobile, overhead etc),
- g) Wall/Pillar Cranes, Derricks, Swing Jibs and Davits,
- h) Runway Beams, Monorails, all Pad Eyes, Gin Poles and Gin Wheels,
- i) Winches, Hoists (air and electric), Crabs, Chain Blocks, Lever Hoists, Jaw Winches
- j) Pull Lifts, Trolleys,
- k) Elevators/Lifts
- I) Escalator,
- m) Forklifts, Self Loader and Side Booms,
- n) Lifting Jacks (pneumatic or hydraulic).

#### 2.3 Lifting Accessories, or Loose Lifting Gear

Any item used to connect a load to the Lifting Equipment, but which is not in itself a part of the load or the Equipment, such as:

- a) Chain Slings,
- b) Wire Rope Slings,
- c) Webbing Slings, Round Slings
- d) Rings,
- e) Links,
- f) Hooks,
- g) Shackles,
- h) Eye Bolts,
- i) Swivels,
- i) Snatch Blocks,
- k) Beam Clamps and Plate Clamps,
- I) Lifting Beams/Spreader Beams.

#### 2.4 An "Equipment"

By itself (stand-alone), in this context, refers to all types of products defined in 2.3







#### 2.5 Major Repair/Alteration

It is a repair that affects either the quantum of the load lifting capacity, or changes to its function or methods of controlling those functions, therefore change in design, such as (but not limited to) the followings:

- a) Increase of the safe working load or an increase in performance,
- b) Increase of the rated speeds,
- c) Alteration to load carrying parts (e.g. anchorages, spelter sockets, primary structures, etc), and
- d) Repair or alteration that affects strength and/or stability.

#### 2.6 Repair/Alteration

Restoring the original state of an Equipment by rebuilding or exchanging parts or units or the following changes (but not limited to):

- a) Increase of the reach (outreach, lifting height, etc),
- b) Alteration of current or voltage,
- c) Alteration to safety devices,
- d) Alteration to driving mechanisms and controls, and
- e) Alteration of guiding rails.

#### 2.7 Inspection

Any physical activity, related to ensuring that an Equipment, in its entirety, and at a given location or environment, meets the specified design and operating Standards and is safe to operate for a specified period. The period is defined by the fact that the Equipment should remain always the same.

#### 2.8 Nondestructive Testing (NDT)

NDT is a wide group of analysis techniques used in science and industry to evaluate the properties of a material, component or system without causing damage.

#### 2.9 Load

Any material, persons, or any combination of these, that are lifted, lowered or suspended by an Equipment.

#### 2.10 Working Load Limit (WLL)

Maximum load (mass) an Equipment may bear safely in the most efficient configuration.

#### 2.11 Safe Working Limit (SWL)

Maximum load (mass) an Equipment may bear safely in a particular configuration (i.e. a sling used in a chocked condition its safe working load is approximately 0.8 of its WLL).

#### 2.12 Load Test

The application of a predetermined load to assess the ability of the Equipment to withstand its SWL.







#### 2.13 Proof Load Test

The application of a predetermined load excess of SWL to assess the ability of the Equipment to withstand operation requirements. This applied proof load shall never exceed the elastic limit of the item being tested.

#### 2.14 Factor of Safety (FOS) or Coefficient of Utilization or Working Coefficient

The factor of safety is the ratio between Minimum Breaking Load (MBL) and WLL work load limit. It varies with the product to take account of the susceptibility to damage and considers the type of stresses the item will withstand in normal use. Where the conditions of use are more severe than those considered by the product standard, the user would apply an increased FOS, so reducing the value of the SWL from that of the WLL.

#### 2.15 Inspection Certificate

Certificate issued by EIAC accredited Inspection Body (IB), to indicate compliance of Equipment with safety requirements and its fitness for use.

#### 2.16 Inspection Report

Report issued by a EIAC accredited IB, when the Equipment do not comply with the requirements of the relevant standards, with full description as to why the Equipment failed the inspection.

#### 2.17 Initial Approval

It is a Certificate issued to an Equipment indicating compliance of Design, Manufacturing Process and Initial Inspection.

#### 2.18 Design Review

It is the process of reviewing the design of particular Equipment to meet a certain standard requirement before put into production.

#### 2.19 Inspection of Manufacturing Process

It is the process of inspecting the manufacturing/repairing process for an Equipment against its reviewed [approved] design.

#### 2.20 Initial Inspection

It is an Inspection after production for commissioning purpose.

#### 2.21 Periodic Inspection

It is a Thorough Inspection that includes visual, functional and load testing (where applicable) an Equipment that was already installed/commissioned and operational, or after being repaired/altered.

The inspection shall also take into consideration the reviewed [approved] design, risks involved, including the location of the Equipment and its surrounding environment that can jeopardize compliance to the requirement of the inspection standard and, in some cases, the IB may require to witness some of the installation processes on-







site; critical stages of the installation may require inspector's verification. The Equipment shall never be proof load tested during the Periodic [Thorough] Inspection.

Note 1: The inspection period is based on a normal working day of up to 8 hrs and a 5/6-day working week (approximately 2400 hours/annum). When usage of the Lifting Equipment exceeds these figures, the Inspection Body must specify exactly, in writing, what the period of these inspections is. Factors such as the environment, frequency and type of usage, quality of maintenance shall be taken into account prior to establishing the period of the inspection. It is the responsibility of the Equipment owner to inform the Inspection Body with regards to the figures and conditions under which the Equipment were used.

Note 2: As a result of a periodic inspection, the Inspection Body may recommend a major inspection if deemed necessary.

#### 2.22 Major Inspection

Inspection, that includes visual, functional and proof load test, in accordance with the manufacturers' recommendation and inspection standards' requirement, to assess the suitability of the Equipment for continued safe operation when:

- a) An inspection after major repair/alteration.
- b) Cranes that have reached the end of their design life or, where this is unknown, after 25 years for the structure and 10 years for the mechanical components.
- c) Old Equipment that are to be re-commissioned after reviewing their designs and assuring that they are in compliance with the current standard requirements.
- d) Equipment that went through Major Repair.
- e) Equipment that was upgraded or modified.
- f) At Inspector's discretion.

#### 2.23 Related Authority

Regulatory bodies, regulating the work of Equipment and their owners/users, such as in the Emirate of Dubai, Dubai Municipality (i.e. Public Health and Safety Department, Building Department, Environmental Department), Department of Civil Aviation, Dubai Ports Authority-Ports Customs & Free Zone Corporation, Jebel Ali Free Zone Authority (JAFZA), Dubai Civil Defense, and other Free Zone Authorities.

#### 2.24 Shall

The word Shall is used when stating a mandatory requirement.

#### 2.25 Should

The word Should is used when the statement is advisory







#### **3** Specific Criteria for Competence

- 3.1 Requirements for Technical Competence of Staff:
- 3.1.1 The inspection body shall assess the competence of all categories of persons involved in inspection process. No under-training inspector shall be allowed to perform inspection activities independently under any circumstances.
- 3.1.2 The minimum number of the technical staff and their educational background, qualifications, competence and experience, for each level, is stipulated in
- 3.1.3 Annex A
- 3.2 Levels of Supervision and Requirements for Technical Support

Following are the classified levels of supervision that must be exerted by the Inspection Bodies and circumstances under which they shall be exerted:

3.3 Occasional (on Senior Inspectors)

If the senior inspector is the highest level of competence in the IB then he/she is responsible for holding sufficient records that proves review of his work has been done as per this requirement either by him or by any of his peers. Otherwise, if he has a higher authority supervising him, at least annual review is necessary.

3.4 Frequent (on inspectors)

Direct contact with Supervisor at least weekly. Technical support from qualified senior inspectors to be readily available.

3.5 Constant (on inspectors under-training)

Direct daily contact with Supervisor. Technical support from qualified senior inspectors to be readily available.

3.6 Training Needs

The training shall include:

- a) Safe conduct of the inspectors' duties, in particular safe practices applicable to Lifting Equipment.
- b) Risk assessment.
- c) Knowledge of applicable statutory requirements.
- d) Codes of practice and standards.







#### Requirements for Site<sup>1</sup> Work

- 4.1 Preparation for Site work:
  - a) The Inspection Body shall allocate inspection activities based from the work program for each inspector in the form of Work Orders. Work Orders to be used by inspectors on site shall contain the following information as minimum:
    - Identifiable number traceable to the client request/contract;
    - Type of the Equipment and related information about critical items to be inspected;
    - Site location (site map is recommended to be provided);
    - Instructions for inspections; and,
    - Contact person on behalf of the IB's client
  - Upon arriving at any inspection site, there shall also be an obligation from the IB for the inspector to enquire the following information:
    - Information about previous inspections.
    - If the Inspector cannot obtain sufficient information, the inspection must be treated like an Initial Inspection.
    - Equipment design reviewed by an accredited IB (if accredited IBs are within reach/available).

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<sup>&</sup>lt;sup>1</sup> Site: Place at which inspection is being undertaken.





#### 5 Inspection Methods and Procedures

- 5.1 Methods and Procedures to be used:
  - The Inspection Body shall use the relevant up-to-date international standards for the inspection.
- 5.2 In addition to the relevant international standards, the manufacturer's technical literature applicable to the Equipment shall also be part of the inspection methods.
- 5.3 Initial Approval
- 5.3.1 The Initial Approval shall be given in a form of Certificate to an Equipment by a EIAC accredited IB after issuing the following Reports:
  - a) Design Review;
  - b) Inspection of Manufacturing Process; and,
  - c) Initial Inspection.
- 5.3.2 In case the design of completely identical Equipment has been previously reviewed by the same IB, the design review scope for the new Equipment can be reduced to verifying the design basis (design standards, local law and load assumptions) and comparing the design documents for the new Equipment for compliance to the already reviewed documents. New set of design review documentation shall be issued. This applies only when no copyrights/personal rights are being violated.
- 5.4 Periodic Inspection
- 5.4.1 All Equipment shall be thoroughly inspected against the relevant inspection standard as well as the Initial Approval documents, where applicable.
- 5.4.2 The IB Shall ensure that underground footing foundation and/or attachment(s) to a structure has been adequately verified (in however format), for the following Equipment (not limited to):
  - a) Tower Crane
  - b) Window Cradle
  - c) Construction Hoist
  - d) Elevator
  - e) Escalator
- 5.4.3 The IB shall verify that installation is in compliance with the reviewed design, where applicable.
- 5.5 Major Inspection
- 5.5.1 IBs shall ensure Equipment that were majorly repaired are subjected to Major Inspection.
- 5.5.2 Major Inspection shall include the following:
  - a) Design Review to the extent of the major repair and its effect on the total system;
  - b) Repair Inspection;







- c) Visual Inspection;
- d) Functional Test; and,
- e) Proof Load Test.

#### 6 References

- 6.1 ISO/IEC 17020:2012 Conformity assessment Requirements for the operation of various types of bodies performing inspection.
- 6.2 ILAC-P15:07/2016: Application of ISO/IEC 17020:2012 for the Accreditation of Inspection Bodies.





#### 7 Annex A

Minimum number of permanent staff:

Minimum number of permanent staff:						
Lifting Equipment and Lifting Accessories						
	T		Т	Inspector (or, however named)		
IB	Mechanical	Structural (Civil)	Electrical	Experience (for each	Type of Inspection	Total Minimum
Level	Number of	Number	Number	principle)	Type of morecular	Number
	staff	of staff	of staff			- Tumber
	Degree	Degree	Degree	B.Sc. Engineering	Initial Approval	
1	1	1	1	Degree, shall have at least 4 years experience working within an engineering discipline related to Lifting Equipment.	<ul> <li>Design Review</li> <li>Inspection of         Manufacturing Process</li> <li>Initial Inspection</li> <li>Major Inspection</li> <li>Periodic Inspection</li> </ul>	3
2	Degree/ Diploma	Diploma Diploma		B.Sc. Engineering Degree, shall have at least 2 years experience working within an engineering discipline related to Lifting Equipment <sup>2</sup> .  Diploma in Engineering discipline, shall have at	Periodic Inspection	1
	1 of any discipline			least 6 years experience working within an engineering discipline related to Lifting Equipment <sup>2</sup> .		
		Chief/	Senior Inspe	ector/Technical Manager (or	r, however named) <sup>2</sup>	
			lı	nspector (or, however named	d)	
IB	Leve	l of Qualificat	tion	Experience		Total Minimum
Level	Mechanical	Structural (Civil)	Electrical			Number
All	Degree in any	y discipline		6 years experience working within an engineering discipline related to Lifting Equipment <sup>3</sup> .		

<sup>&</sup>lt;sup>2</sup> NOTE: Chief/Senior Inspector/Technical Manager (or, however named) can count for an inspector.

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<sup>&</sup>lt;sup>3</sup> NOTE: Or, Lifting Accessories, in case the IB was only accredited for Lifting Accessories.





#### 8 Annex B

Inspection frequencies for IBs working in the Emirate of Dubai are summarized in the below table, taking into account that any Equipment (what so ever) utilized to lift persons shall be inspected within a period not greater than 6 months:

	Major Inspection (Proof	Periodic Inspection (Load Test)	
Equipment Type	Load Test <sup>i</sup> )		
Lifting Equipment, including (but not limited to):	During Initial Inspection	Every twelve (12) months	
Tower crane,	During Major Inspection		
Overhead traveling crane,	At discretion of survey		
Mobile crane,			
Forklift,			
Pedestal crane,			
Hoist,			
Derrick,			
Wall/pillar crane,			
Runway beam,			
Winch, and			
Vehicle lift.			
Lifting Equipment used for lifting persons,	During Initial Inspection	Every six (6) months	
including (but not limited to):	During Major Inspection		
Crane used for man-riding duties,	At discretion of survey		
Window cradle,			
Construction hoist,			
Powered working platform (MEWP),			
Suspended/man basket, and			
Elevator.			
Other Lifting Equipment, used for lifting goods and	During Initial Inspection	Every six (6) months (excluding load	
person that are cannot be subjected to proof load	During Major Inspection	test)	
test, including (but not limited to):	At discretion of survey		
Escalator, and			
Passenger conveyer.			
Other Lifting Equipment, used for lifting goods and	During Initial Inspection	Every six (6) months (excluding load	
person that are cannot be subjected to proof load	During Major Inspection	test)	
test, including (but not limited to):	At discretion of survey		
Escalator, and			
Passenger conveyer.			







Equipment Type	Major Inspection (Proof	Periodic Inspection (Load Test)
	Load Test <sup>ii</sup> )	
Manual Lifting Equipment, including (but not	During Initial Inspection	Every six (6) months
limited to):	During Major Inspection	
Chain Block,	At discretion of survey	
Pulley, and		
Mobile or movable jack.		
Lifting Accessories, including (but not limited to):	During Initial Inspection	Every six (6) months (excluding load
Chain slings and wire rope slings,	At discretion of survey	test)
Ring,		
Link,		
Hook,		
Shackle,		
Eyebolt,		
Swivel,		
Snatch block,		
Pad eye,		
Beam clamp,		
Plate clamp,		
Lifting beam, and		
Webbing sling and round sling,		
Cargo net		

<sup>&</sup>lt;sup>1</sup> Proof load shall be in accordance with the manufacturers' recommendation and inspection standards' requirement.

<sup>&</sup>lt;sup>ii</sup> Proof load shall be in accordance with the manufacturers' recommendation and inspection standards' requirement.